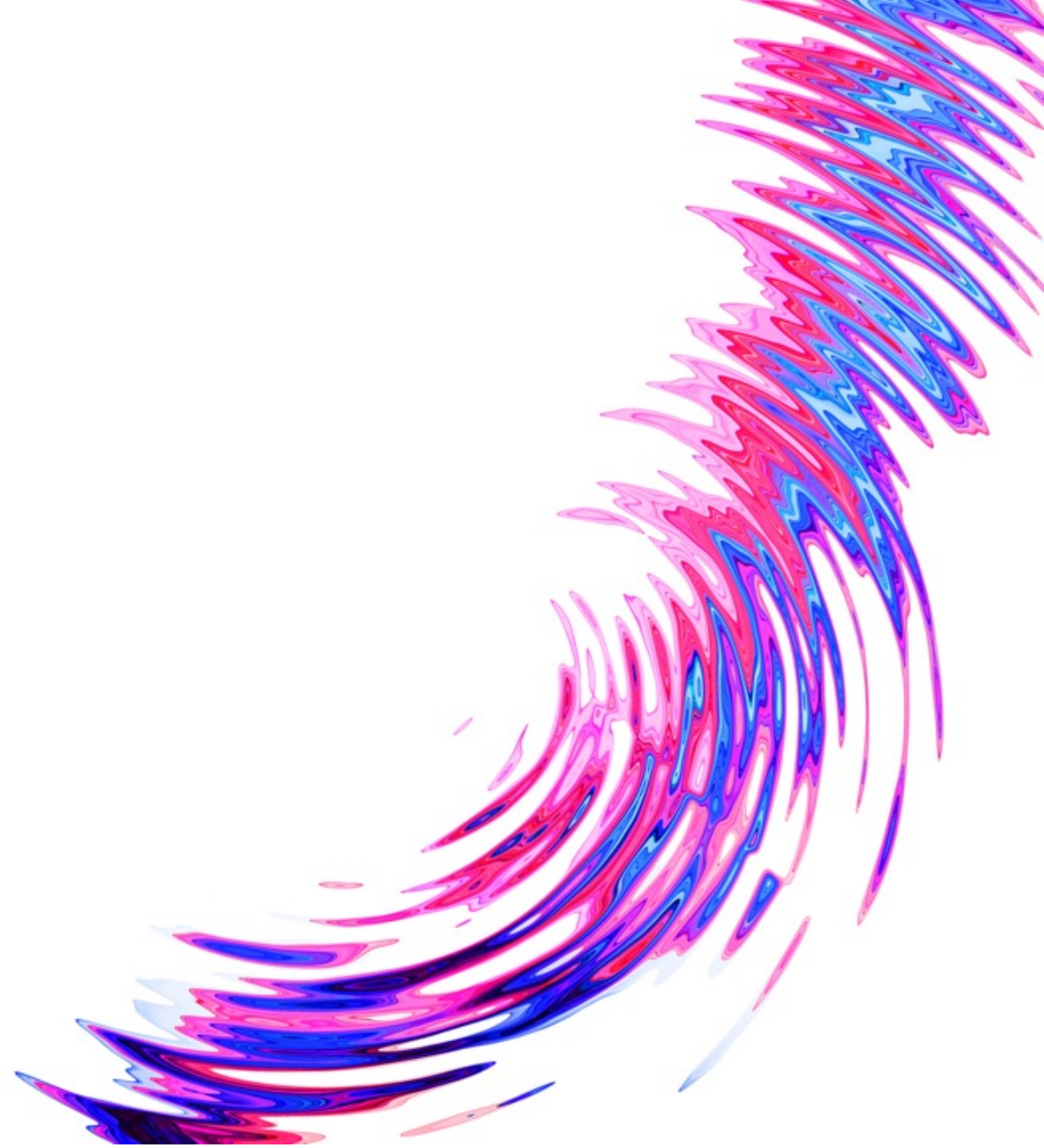


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Proxy Voting Results 2022/2023



# Sustainability Approach

We integrate sustainability at all levels of our investment process as well as take an innovative approach towards engagement and active ownership.

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We believe that companies with strong governance practices, who consider stakeholders' interests are better positioned to perform well in the long run. Through proxy voting, shareholders can influence a company's corporate governance practices, management, and operations. As part of the transition to a more resilient economy, we see proxy voting as vital shareholder responsibility.

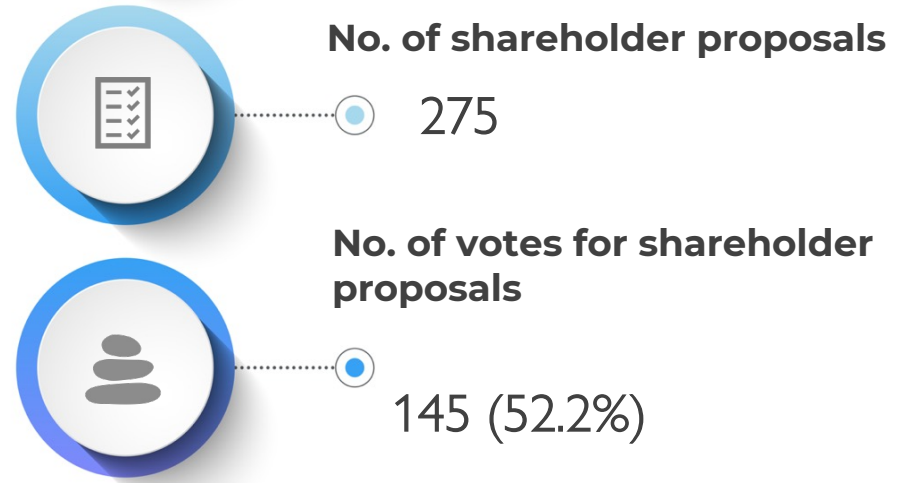
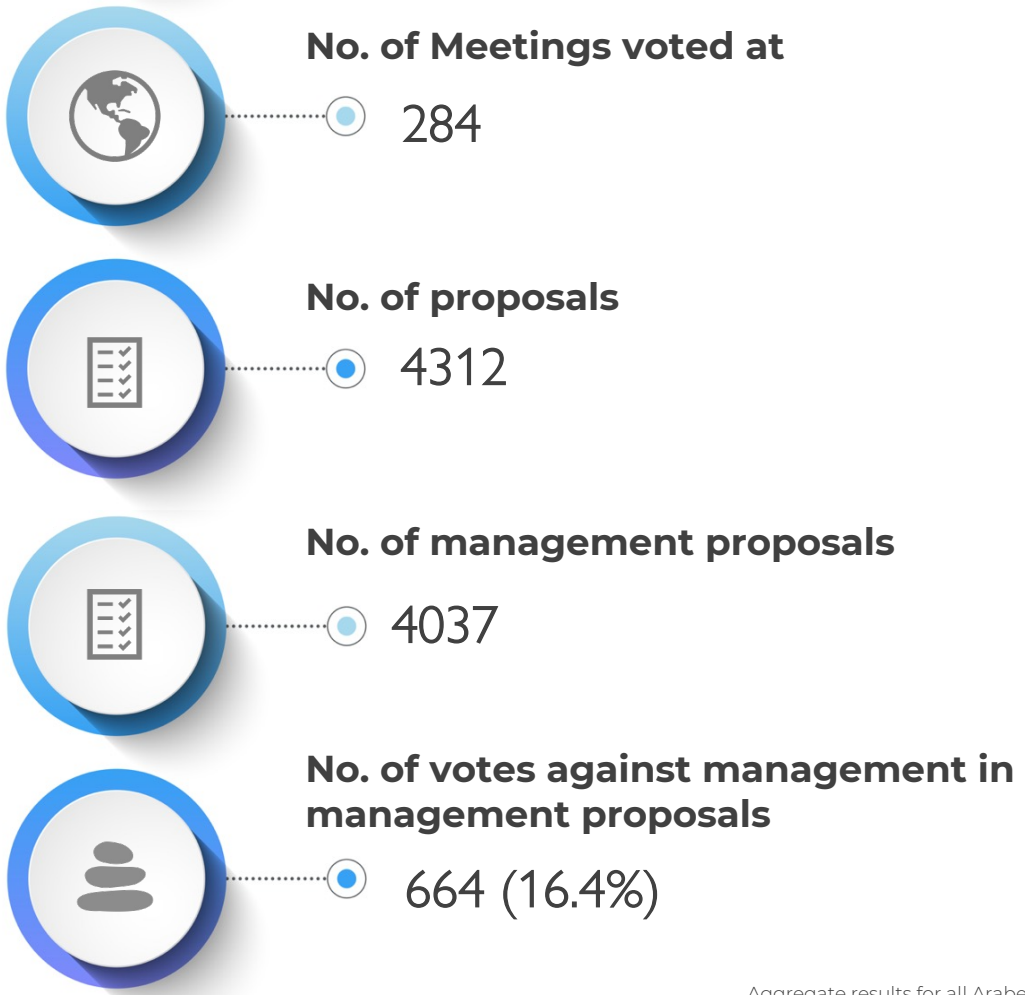
Arabesque partnered with Glass Lewis to access meeting information, research, and submit voting preferences, according to **ESG Voting [Policy](#)**.



GLASS LEWIS

# Voting Results: June 2022 - June 2023

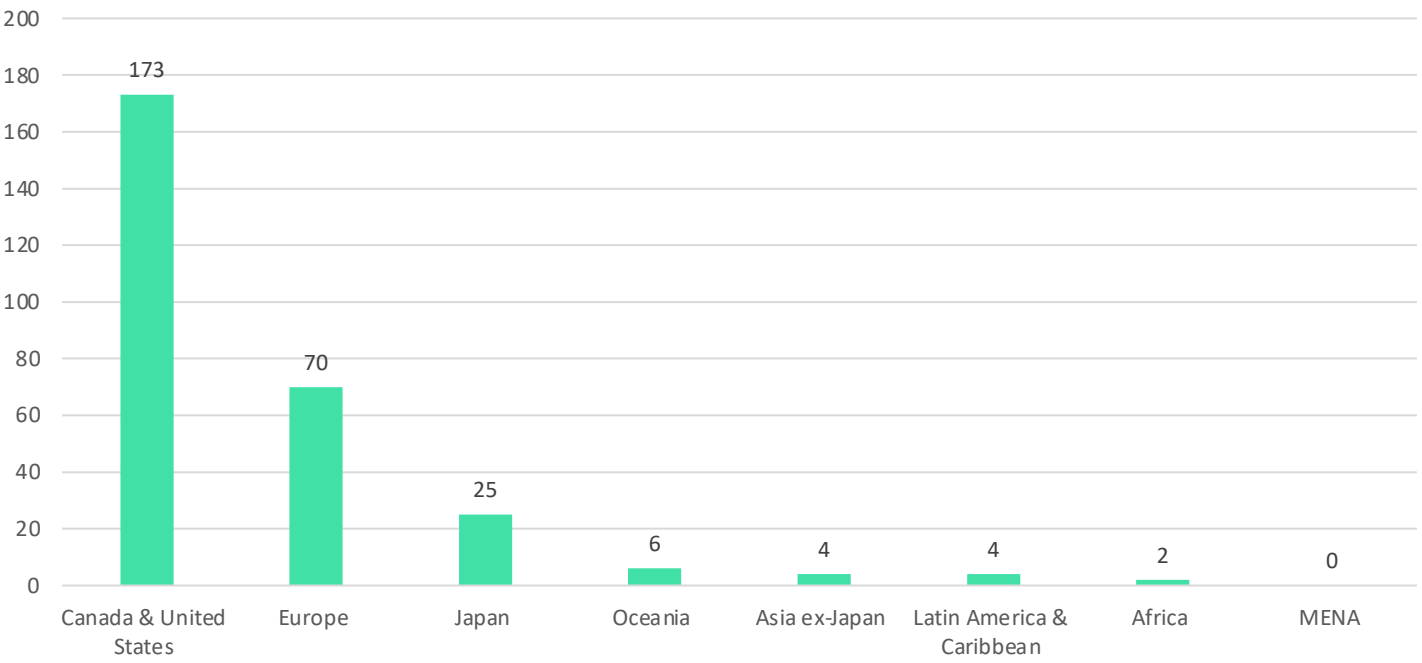
Proxy voting is crucial as it allows shareholders to actively engage with companies and influence their decision-making processes, fostering responsible corporate practices and long-term value creation.



# Voting Results: Regional split

We casted voted in companies from 22 countries.

Number of votes per geographical location



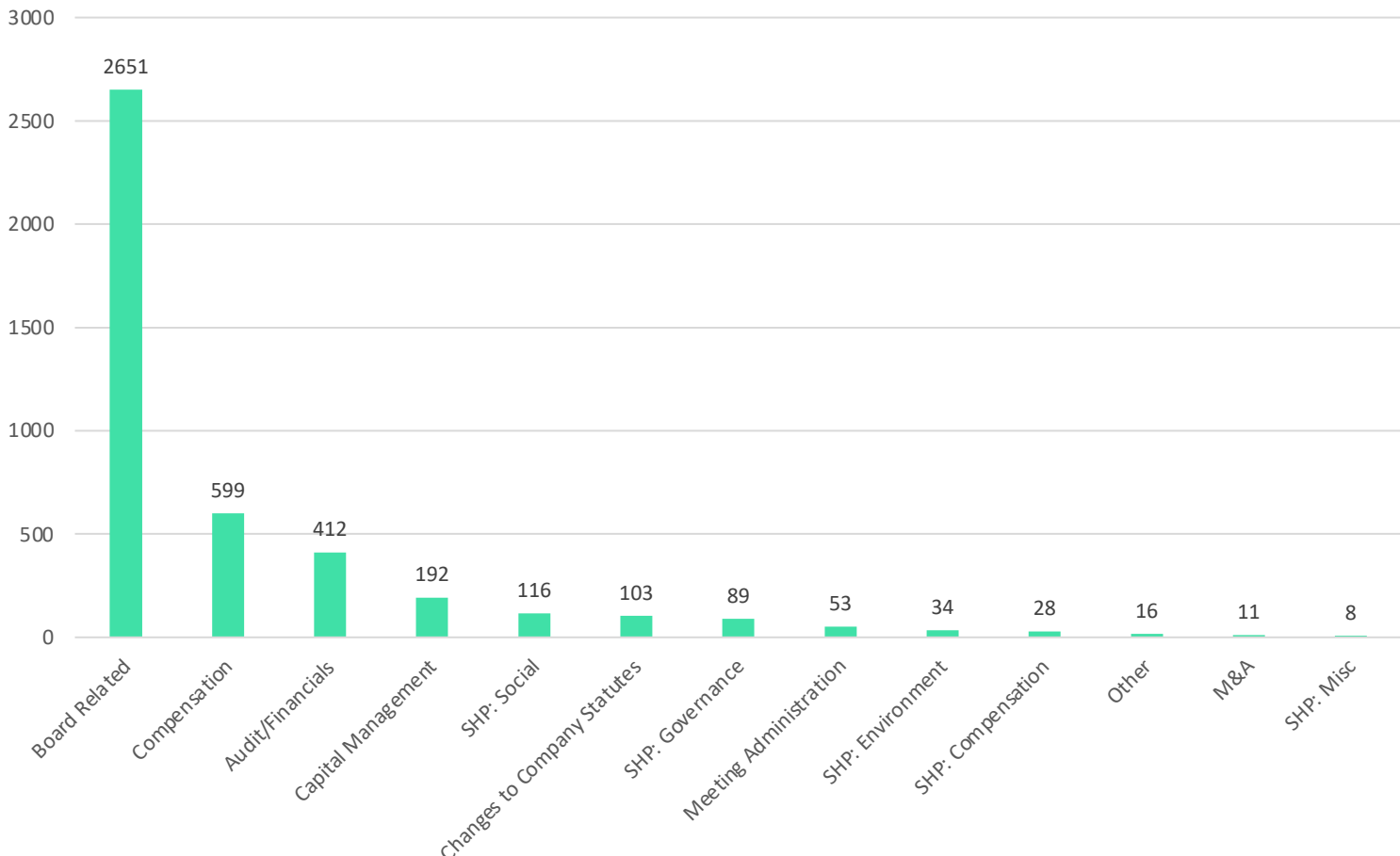
Country Of Origin	Total
United States	167
Japan	25
Switzerland	13
United Kingdom	12
Ireland	9
France	8
Germany	7
Australia	6
Canada	6
Netherlands	5
Cayman Islands	4
Denmark	4
Sweden	4
Hong Kong	3
Spain	3
Finland	2
South Africa	2
Belgium	1
Jersey	1
Korea, Republic of	1
Portugal	1
Israel	0

Aggregate results for all Arabesque SICAV funds. Time period 30.6. 2022 – 30.6. 2023

# Voting Results: Proposals

Types of proposals at AGMs.

Type of Proposal



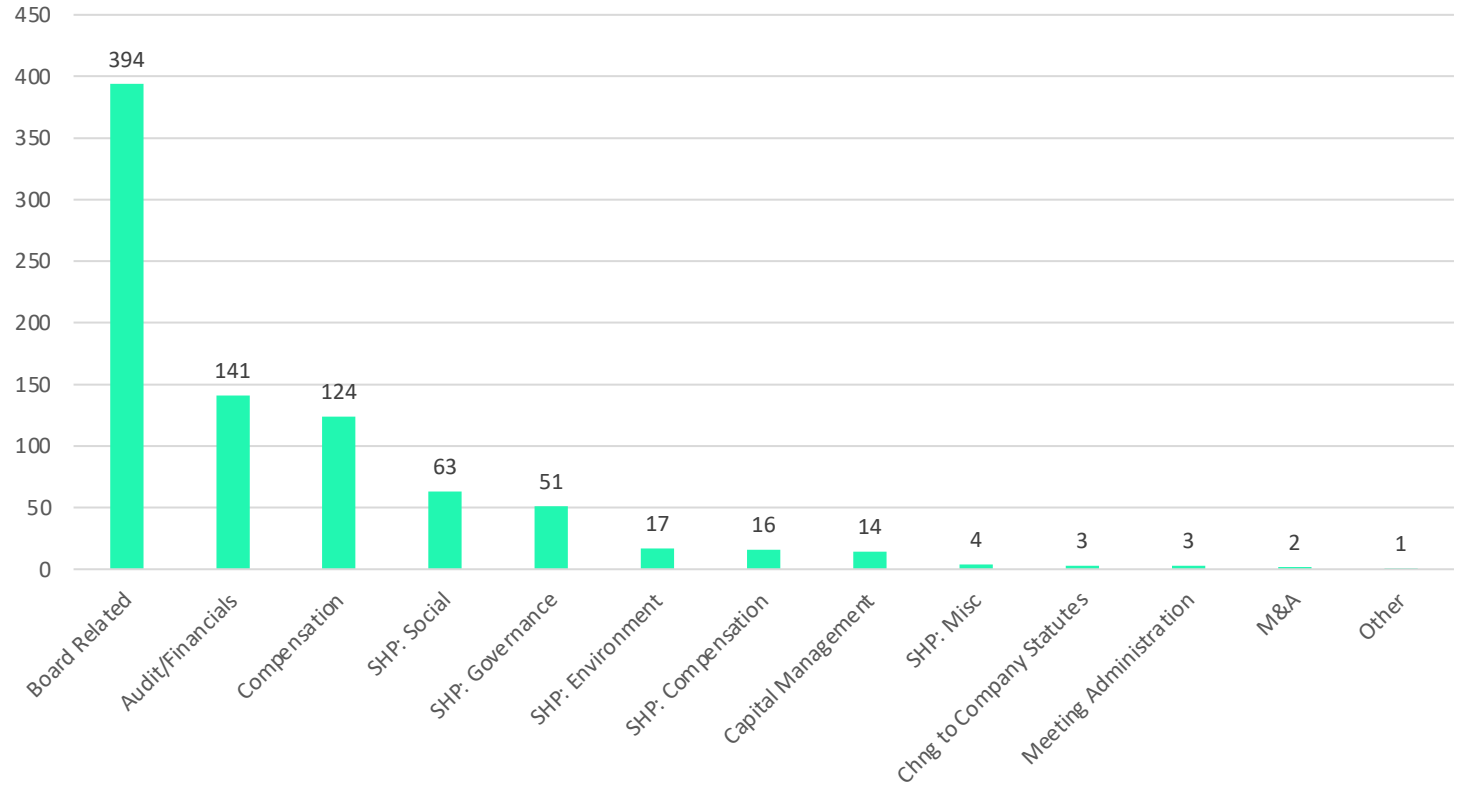
Proposal Issue voted the most	Voted
Election of Directors	2332
Advisory Vote on Executive Compensation	174
Ratification of Auditor	167
Say When on Pay	134
Ratification of Board Acts - Legal	122
Remuneration Report (Retrospective)	81
Allocation of Profits/Dividends	76
Election of Board Committee Members	62
Appointment of Auditor	59
Financial Statements	57
Non-Executive Remuneration Policy (Forward-Looking)	56

Aggregate results for all Arabesque SICAV funds. Time period 30.6. 2022 – 30.6. 2023

# Voting Results : Management

Votes against companies' management.

### Proposals voted against management



**No. of votes against management (all proposals)**

833 (19.3%)



**No. of votes against management (shareholder proposals)**

151 (55%)

Aggregate results for all Arabesque SICAV funds. Time period 30.6.2022 – 30.6.2023

# Sustainability Approach

We integrate sustainability at all levels of our investment process as well as take an innovative approach towards engagement and active ownership.

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**Active Engagement:** EU Tech sector engagement

**Collaborative Engagement:** Share Action – Decarbonisation Working Group, Climate Action 100+, EV100,

**Proxy Voting:** via Glass Lewis across all funds

**ESG in Portfolio optimisation:** Arabesque AI Engine

**Decarbonisation:** ESG Book Temperature Score

**Reputational Risk:** ESG Book UN Global Compact Score

**ESG (Financial Materiality):** ESG Book ESG Score

**Exclusions:** ESG Book Values Alignment Tool

# Engagement Principles

Principles informing our engagement activities.

## Information Transparency

Businesses should support increasing information transparency and enhanced disclosures. We believe this leads to improved data quality, data availability, and transparency.

## Environmental Matters

Businesses should support a precautionary approach to environmental challenges and undertake initiatives to greater responsibility around climate change, biodiversity, energy efficiency, water efficiency, amongst others.

## Sound Governance

Businesses should have sound governance and capital structures, with accountability at the top, strong risk management and anti-corruption.

## Social Responsibility

Businesses should uphold human and labour rights, promoting sound employee rights, diversity, customer care and eliminating discrimination, child or force labour, or any harmful practices.



# Emission Disclosure in the EU Tech Sector

Arabesque-led engagement campaign

- Goal: Improve the emission disclosure of six EU tech companies
- Focus: Scope 1, Scope 2, Scope 3 emissions (GHG Protocol)
- Rationale: The insufficient disclosure from corporates results in missing Temperature score (ESG Book) score, which in turn means the company is not considered in the investment process
- Process: We sent letters to the Boards of the six companies asking them to improve emission disclosures.
- Outcome:
  - Investor support worth **£970bn**
  - All 6 companies responded
  - 1 outstanding reengagement on Scope 3 with one company
  - On-going monitoring of the companies' reporting



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